



Docket No. <u>1232-4802</u>

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):

SAITO, et al.

Group Art Unit:

To be assigned

Serial No.:

To be assigned

Examiner:

To be assigned

Filed:

Herewith (January 16, 2002)

For:

EXPOSURE METHOD AND APPARATUS USING NEAR FIELD LIGHT

## INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents Washington, DC 20231

Sir:

This Information Disclosure Statement is filed in accordance with 37 C.F.R. §§1.56, 1.97 and 1.98. The items listed on Form PTO-1449, a copy of which is enclosed, are made of record to assist the Patent and Trademark Office in its examination of this application. The Examiner is respectfully requested to fully consider the items and to independently ascertain their teaching.

۱.	$\boxtimes$	For each of the following items listed on the enclosed copy of Form PTO-1449 that is not in the English language, an English language translation of that item or a portion thereof or a concise explanation of the relevance of that item is enclosed:
		JP 6-326000 (US 5,789,734); JP 7-220988 (US 5,831,715); JP 11-145051 (US 6,171,730); English abstracts provided for: JP 8-179493 and JP 9-007935
2.		For each of the following items listed on the enclosed copy of Form PTO-1449 that is not in the English language, a concise explanation of the relevance of that item is incorporated in the specification of the above-identified application.
3.		Any copy of the items listed on the enclosed copy of Form PTO-1449 that is not enclosed with this Information Disclosure Statement was previously cited by or submitted to the Patent and Trademark Office in application Serial No, filed

4.	$\boxtimes$	No fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with:					
	37 C.F.R. §1.97(b)(1), within three months of the filing date of a n application other than a CPA; or						
	37 C.F.R. §1.97(b)(2), within three months of the date of entry into the national stage as set forth in §1.491 in an international application; or						
37 C.F.R. §1.97(b)(3), before the mailing date of a first Office action merits; or					he mailing date of a first Office action on the		
	37 C.F.R. §1.97(b)(4), before the mailing date of a first office action aft the filing of an RCE under §1.114.						
$\boxtimes$	The Commissioner is hereby authorized to charge any additional fees which may be required for this Information Disclosure Statement, or credit any overpayment to Deposit Account No. 13-4500.,Order No. 1232-4802. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.						
					Respectfully submitted, MORGAN & FINNEGAN, L.L.P.		
Dated: January 16, 2002 By:				By:	Aseph & Casacus		
	<u>-</u>				Joseph A. Calvaruso Registration No. <u>28,287</u>		
<u>Cc</u>	rresp	ondence	Address:		•		
MORGAN & FINNEGAN, L.L.P.							

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345 Park Avenue New York, NY 10154-0053 (212) 758-4800 Telephone (212) 751-6849 Facsimile

4.	$\boxtimes$		fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement e it is being filed in compliance with:				
	37 C.F.R. §1.97(b)(1), within three months of the filing date of a nation application other than a CPA; or						
37 C.F.R. §1.97(b)(2), within three months of the date of entry into the national stage as set forth in §1.491 in an international application; or							
		the mailing date of a first Office action on the					
		37 C.F.R. §1.97(b)(4), before the mailing date of a first office action the filing of an RCE under §1.114.					
The Commissioner is hereby authorized to charge any additional fees which may required for this Information Disclosure Statement, or credit any overpayment to Deposit Account No. 13-4500.,Order No. 1232-4802. A DUPLICATE COPY OTTHIS SHEET IS ATTACHED.							
				Respectfully submitted, MORGAN & FINNEGAN, L.L.P.			
Dated: January 6, 2002			<b>6</b> , 2002 By	Joseph A. Calvaruso Registration No. 28,287			
M0 34 Ne (2)	ORG. 5 Par w Yo 12) 7:	AN & F. k Avenu ork, NY 58-4800	e Address: INNEGAN, L.L.P. ne 10154-0053 Telephone Facsimile				

FORM PTO-1449				Attorney Docket: 1232-4802		To be assigned	0 H	
					olicant:			385
INFORMA	TION I	DISCLOSURE CIT	ATION		TO, et al	Group Art Unit:		52.50 50 50.50 50.50 50.50 50.50 50 50.50 50 50 50 50 50 50 50 50 50 50 50 50 5
INFORMATION DISCLOSURE CITATION				Her	rewith (Januaryl <b>6</b> , 2002)	To be assigned		
			U.S	. PAT	TENT DOCUMENTS			
Examiner			Publicat				Sub-	5
Initial		Patent Number	Date		Name	Class	Class	Filing Date
	1	5,789,734	08/04/199	8	Torigoe, et al.	250	201.2	05/13/1994
	2	5,831,715	11/03/199	8	Takahashi	355	53	06/04/1997
	3	6,171,730	01/09/200	01	Kuroda, et al.	430	5	11/03/1998
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			FOR	EIGN	PATENT DOCUMENTS			
Examiner Initial		Patent Number	Publica Date		Country	Class	Sub- Class	Translation
	1	6-326000	11/25/19	94	Japan			☐ Yes ☑ No
	2	7-220988	08/18/19	95	Japan			☐ Yes 🛭 No
	3	8-179493	07/12/19	96	Japan			☐ Yes 🖾 No
	4	9-007935	01/10/19	997	Japan			☐ Yes 🖾 No
	5	11-145051	05/28/19		Japan			☐ Yes 🛭 No
	<del></del>	OTHE	R DOCU	MENT	TS (Including Author, Title	, Date, etc.)		- · · · · · · · · · · · · · · · · · · ·
Examiner					Date Considered	•		
EXAMINER:	Draw lin	reference considered, whether through citation if not in copy of this form with next	conformance a	ind not	n conformance with MPEP §609. considered. oplicant.			